# ASSET TRANSITION - THE SERVICE

Clients transition through a bookend approach. allowing advisers to focus on advice

### **ADVISER**

Adviser Firm request service



## **AVIVA**



Fund & Pricing



Illustration & client pack generation

## **ADVISER**

Illustration review & advice



## **AVIVA**



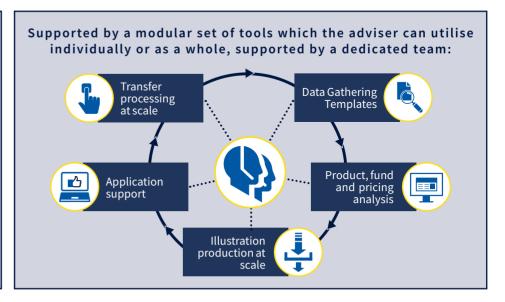
Scale application support



Scale transfer processing



MI reporting & monitoring



Which can be implemented as a collaborative end-to-end initiative

## **Comparison Analysis**

- Adviser firms register interest in the service and gathers data
- Aviva compares product types, fund match and compares prices
- Aviva produces a comprehensive analysis per client

#### Illustrations

- · Adviser confirms client population to progress to illustration
- Illustrations and client packs produced in bulk by Aviva
- Packs made available to the adviser



Adviser firm: Adviser gives advice

#### Suitability

- · Reviews illustrations with clients in suitability review
- · Provides advice literature in advice process
- · Obtains client declarations for transferring population
- · Confirms to Aviva transferring population
- · Obtains additional required data for transfer

# **Application**

- Adviser firm gathers data
- Applications to transfer onto the Aviva Platform are pre-populated by Aviva Asset Transfer team
- Reviewed and submitted by adviser firm
- Moved into the transfer queue by Aviva

#### Transfer

- In-specie and cash transfer processing by Aviva
- MI and case tracking by Aviva

