Aviva Platform

Corporate application form - Investment Portfolio for Offshore Bond Investments

AVIVA WEALTH

Please note

How to fill in this form

This form should be completed by you as a Limited Company, to open a new Investment Portfolio as part of your customer's Offshore Bond application.

Your application may require additional documentation depending on your request. This is listed at the end of this form in the 'What happens next' section. Please make sure you complete all relevant sections. If you don't, it may delay your application. In addition, in certain circumstances we may come back to you for additional documentation.

The Investment Portfolio Terms and Conditions for corporate investors are important and you should take the time to read them. Please contact your financial adviser for a copy of the terms and conditions.

Section 1: Details of organisation - identification

Full name of corporation

Example:

'Company Name' International Limited ABC1234567

Corporation's reference number (FCA number)

GIIN number

Registered address

Town/city

Postcode

We can give online read only access to the Investment Portfolio for the corporation. Please provide details of the two authorised signatories to receive this access.

	Authorised signatory 1	**Authorised signatory 2**
First name		
Surname		
Email address		

Section 2: Payment details

Single payment

IMPORTANT - DO NOT SEND PAYMENTS until we have set up the Investment Portfolio and given you the account number. This is because we must complete detailed due diligence and, under regulations, there may be issues with returning payments if your application is rejected. So in the meantime just tell us how you want to make the payment. You'll find more information in the 'What happens next' section at the end of this form.

Amount £

Note: The minimum single payment is £50,000

How will you send us the payment when we're ready? Cheque ☐ Direct credit ☐

Section 3: Regular withdrawals and natural income

Regular withdrawals

Withdrawal amount per payment £

Frequency of withdrawals (tick one) monthly ☐ quarterly ☐ half-yearly ☐ yearly ☐

For regular withdrawals, please state the first withdrawal date required. Please tick one of the following dates of the month: 1st ☐ 7th ☐ 14th ☐ 21st ☐ 28th ☐

Corporation Bank account details

Account holder's name

Sort code

Bank account number

Withdrawal option Pro rata ☐ Asset-specific ☐

If 'Asset specific' has been chosen, please indicate your asset choice below:

Asset name (in full)	ISIN/Sedol	Withdrawal amount £/%

Natural income

Please tick as appropriate, whether you would like to:

Take natural income ☐ Leave in the account as cash ☐ Reinvest ☐

Section 4: Financial crime

To prevent financial crime, your information may be used by any company within the Aviva group and may be shared with third parties who provide services to us, as well as other organisations where required to by law and regulatory requirements.

A record may be kept of any searches carried out and any suspicions of financial crime and related details may be retained and used to assist other companies for verification and identification purposes.

Section 5: Privacy Notice

Aviva Wrap UK Limited is the main company responsible for processing Personal Information (known as the data controller).

For information on how Aviva uses Personal Information, please view our full privacy policy at aviva.co.uk/privacypolicy or request a copy by writing to us at: The Data Protection Team, Aviva, PO Box 7686, Pitheavlis, Perth, PH2 1JR.

If you are providing Personal Information about another person, you should direct them to the privacy policy.

Section 6: Client declaration

- This investment will be subject to the law of England.
- I/We declare this application form is completed to the best of my/our knowledge and belief.
- I/We will notify Aviva immediately if any information relating to this application changes in the future.
- I/We agree that the Investment Portfolio Terms and Conditions for corporate and corporate trustee investors apply to this investment.

I/We confirm we have read and agreed to the above Client Declaration and have authority to act on behalf of this Corporation.

	Authorised signatory	Authorised signatory
Print name		
Position in the company		
Signature		
Date	D D M M Y Y Y Y	D D M M Y Y Y Y

	Authorised signatory	Authorised signatory
Print name		
Position in the company		
Signature		
Date	D D M M Y Y Y Y	D D M M Y Y Y Y

Section 7: Adviser details

Adviser name	
Adviser reference number	
Firm name	
Firm reference number	

What happens next?

We'll send the corporate and the financial adviser confirmation of the account number.

The corporation will be able to send us a single payment at this point. When they do, they should quote the new Investment Portfolio account number. Once we receive and process the payment we'll also provide you with:

- a confirmation schedule
- a charges schedule
- an illustration of the Investment Portfolio
- the right to cancel.

Checklist

Please make sure you've:

- read, signed and dated the Declaration ☐
- ensured that authorised signatories have signed this Declaration ☐
- included an authorised signatory list (if applicable) ☐

Where do you need to send this declaration?

Please check you have all corresponding documentation and email it, along with this declaration, to **advisedplatform@aviva.com**

Need this in a different format?

Please get in touch if you'd prefer this form (**LF40075**) in large print, braille, as audio, or in a different colour.

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